1 Negligible Functions

A non-negative function $\nu : \mathbb{N} \to \mathbb{R}$ is negligible if it decreases faster than the inverse of any polynomial; More precisely, for each polynomial P with coefficients in \mathbb{R} , there exists some $N \in \mathbb{N}$ such that $\nu(n) < 1/P(n)$ for n > N. Otherwise, we say that ν is non-negligible. We use negl(n) to denote some arbitrary negligible function and poly(n) for some arbitrary polynomial in n with non-negative leading coefficient.

1. (2 points) Show that ν is negligible if and only if for every fixed sufficiently large integer c, we have

$$\lim_{n \to \infty} \nu(n) \cdot n^c = 0.$$

- 2. (1 point) Is $\nu(n) = 1/2^{100 \log n}$ negligible or non-negligible? Give a brief justification.
- 3. (1 point) Is $\nu(n) = n^{-\log \log \log n}$ negligible or non-negligible? Give a brief justification.

2 Security Definitions

2.1 Alternative CPA-Security Definition for PKE

Recall in class we define the syntax, correctness and CPA-security of a PKE scheme. Consider an alternative CPA-security definition of PKE. The security experiment between adversary and challenger is described as follows:

- The challenger sets up a PKE scheme as $(pk, sk) \leftarrow Setup(1^{\lambda})$ and sends pk to adversary \mathcal{A} .
- Upon receiving pk, the adversary A sends a random message m to the challenger.
- The challenger flips a coin b ∈ {0,1}. If b = 0, the challenger computes ct ← Enc(pk, m). Otherwise, compute ct ← Enc(pk, r), where r is a random message of equal length of m. The challenger sends ct to the adversary.
- The adversary A outputs guess b'.

The advantage of adversary and security notion can be defined similarly. (4 points) Is the definition equivalent to the IND-CPA security? Prove your answer or construct a counter-example.

2.2 Security of Parallel Repetition of 1-bit PKE

Suppose we have a PKE scheme Π for single-bit messages. We can construct a new PKE scheme Π' for message space $\{0,1\}^{\ell}$, by defining the encryption algorithm Enc' as

$$\mathsf{Enc}'(\mathsf{pk}, \vec{m}) = \mathsf{Enc}(\mathsf{pk}, m_1) || \cdots || \mathsf{Enc}(\mathsf{pk}, m_\ell),$$

where $m = (m_1, ..., m_\ell) \in \{0, 1\}^{\ell}$ and $\ell = poly(\lambda)$.

- 1. (3 points) Show that if Π is IND-CPA secure, so is Π' .
- 2. (3 points) show that the IND-CCA security of Π' does not hold even if Π is IND-CCA secure.

3 Lattices

3.1 Gram-Schmidt Orthogonalization

Recall the Gram-Schmidt orthogonalization process of vectors. Let $\mathbf{B} = (\mathbf{b}_1, \dots, \mathbf{b}_n) \in \mathbb{R}^d$ and $\tilde{\mathbf{B}}$ be the input and output of Gram-Schmidt orthogonalization process respectively. Let $\mathcal{L}(\mathbf{B})$ be the lattice generated by \mathbf{B} .

- 1. (4 points) Show that the output of Gram-Schmidt orthogonalization $(\tilde{b}_1, \ldots, \tilde{b}_n)$ is pairwise orthogonal.
- 2. (4 points) Show that the norm of the Gram-Schmidt vectors provides a bound on the minimum distance of a lattice as

$$\lambda_1(\mathcal{L}(\mathbf{B})) \ge \min_{i \in [n]} \|\tilde{\boldsymbol{b}}_i\|.$$

3.2 Leftover Hash Lemma

Recall the statement of the lemma as

Theorem 3.1. Let $n, m, q \in \mathbb{N}$ and $\epsilon \in (0, 1)$ be parameters satisfying $m \ge n \log q + 2 \log(1/\epsilon) + 1$. Let $\mathbf{A} \leftarrow \mathbb{Z}_q^{m \times n}$ be a uniformly random matrix over $\mathbb{Z}_q^{m \times n}$ and $\mathbf{r} \leftarrow \{0, 1\}^m$. Then the distribution of $(\mathbf{A}, \mathbf{r}^{\mathsf{T}} \mathbf{A})$ is ϵ -close to the uniformly distribution.

1. (3 points) Show that for any $x, y \in \mathbb{Z}_a^m$, such that $x \neq y$, we have

$$\mathbf{Pr}_{\mathbf{A} \leftarrow \mathbb{Z}_q^m \times n}[\boldsymbol{x}^\mathsf{T} \mathbf{A} = \vec{y}^\mathsf{T} \mathbf{A}] \le \frac{1}{q^n}$$

2. (4 points) For a discrete random variable X, define the collision probability of X to be the probability that two independent samples of X taking the same value. More specifically, define CP(X) := Pr[X = X'], where X' denotes an independent copy of X. Show that

$$\mathsf{CP}(\mathbf{A}, \boldsymbol{r}^\mathsf{T} \mathbf{A}) \leq \frac{1}{q^{mn}} \cdot (\frac{1}{2^m} + \frac{1}{q^n}).$$

3. (4 points) Let X be a random variable with support size N. It is known that if X has collision probability $CP(X) \le (1 + \epsilon^2)/N$, then X is ϵ -close to the uniform distribution over its support. Use this fact and (1) to prove the lemma.

3.3 CCA-security

- 1. (3 points) Prove that Regev's PKE scheme is not IND-CCA secure.
- 2. (4 points) Show that any FHE is not CCA-secure.